



PTO/SB/21 (08-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/714,493           |
| Filing Date            | November 14, 2003    |
| First Named Inventor   | Michael D. Hitchcock |
| Art Unit               | 2176                 |
| Examiner Name          | James H. Blackwell   |
| Attorney Docket Number | C071                 |

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   |   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <b>Remarks</b>  |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                       |
|-------------------------|-----------------------|
| Firm or Individual name | Michael O. Scheinberg |
| Signature               |                       |
| Date                    | June 26, 2006         |

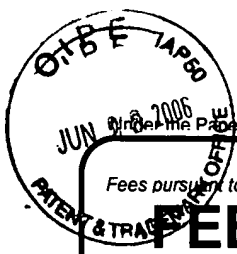
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                   |      |               |
|-----------------------|-------------------|------|---------------|
| Typed or printed name | Margarita Marquez |      |               |
| Signature             |                   | Date | June 26, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

|                      |                      |
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| First Named Inventor | Michael D. Hitchcock |
| Examiner Name        | James H. Blackwell   |
| Art Unit             | 2176                 |
| Attorney Docket No.  | C071                 |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-1635 Deposit Account Name: Michael O. Scheinberg

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

|                     |                     |                 |                      |                                      |
|---------------------|---------------------|-----------------|----------------------|--------------------------------------|
| <b>Total Claims</b> | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b>     |
| - 20 or HP =        | x                   | =               |                      | <b>Fee (\$)</b> <b>Fee Paid (\$)</b> |

HP = highest number of total claims paid for, if greater than 20.

|                      |                     |                 |                      |
|----------------------|---------------------|-----------------|----------------------|
| <b>Indep. Claims</b> | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| - 3 or HP =          | x                   | =               |                      |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <b>Total Sheets</b> | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| - 100 =             | / 50 =              | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement Surcharge 180.00

**SUBMITTED BY**

|                   |                       |  |                          |
|-------------------|-----------------------|--|--------------------------|
| Signature         |                       | Registration No. (Attorney/Agent) 36,919 | Telephone (512) 328-9510 |
| Name (Print/Type) | Michael O. Scheinberg | Date 06/26/2006                          |                          |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/714,493

ART UNIT: 2176

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,  
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: 11/14/2003 EXAMINER: James H. Blackwell

TITLE: Universal Forms Engine

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto are forms PTO/SB/08A and PTO/SB/08B with references listed for consideration by the Office.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as priority mail in an envelope addressed to: 01 FC:1806

180.00 OP

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On: June 26, 2006

By:

*Margarita Marquez*

Name: Margarita Marquez

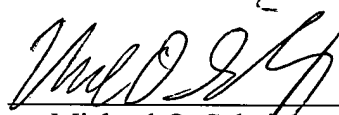
Telephone: (512) 476-0005

This Information Disclosure Statement is being filed after the mailing of a first Office action and before the mailing date of any final action under §1.113, a Notice of Allowance under §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by the fee set forth in §1.17 (p).

Respectfully submitted,

Date: June 26, 2006

By:



Michael O. Schenberger

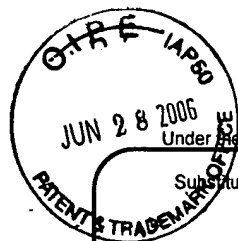
Patent Reg. 36,919

P.O. Box 164140

Austin, Texas 78716-4140

Telephone: (512) 476-0005

Facsimile: (512) 476-1513



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/714,493           |
| Filing Date            | 11/14/2003           |
| First Named Inventor   | Michael D. Hitchcock |
| Art Unit               | 2176                 |
| Examiner Name          | James H. Blackwell   |
| Attorney Docket Number | C071                 |

Sheet 1 of 4

| U. S. PATENT DOCUMENTS |                       |  |                                |  |   |
|------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*     | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        | A                     | US- 5.655,085                            | 08/05/1997                     | Ryan et al.  |   |
|                        | B                     | US-                                      |                                |  |   |
|                        | C                     | US-                                      |                                |  |   |
|                        | D                     | US-                                      |                                |  |   |
|                        | E                     | US-                                      |                                |  |   |
|                        | F                     | US-                                      |                                |  |   |
|                        | G                     | US-                                      |                                |  |   |
|                        | H                     | US-                                      |                                |  |   |
|                        | I                     | US-                                      |                                |  |   |
|                        | J                     | US-                                      |                                |  |   |
|                        | K                     | US-                                      |                                |  |   |
|                        | L                     | US-                                      |                                |  |   |
|                        | M                     | US-                                      |                                |  |   |
|                        | N                     | US-                                      |                                |  |   |
|                        | O                     | US-                                      |                                |  |   |
|                        | P                     | US-                                      |                                |  |   |
|                        | Q                     | US-                                      |                                |  |   |
|                        | R                     | US-                                      |                                |  |   |
|                        | S                     | US-                                      |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |   |   |                |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          | T                     |   |                                |   |   |                |
|                          | U                     |   |                                |   |   |                |
|                          | V                     |   |                                |   |   |                |
|                          | W                     |   |                                |   |   |                |
|                          | X                     |   |                                |   |   |                |
|                          | Y                     |   |                                |   |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Substitute for form 1449/PTO<br><br><h2 style="text-align: center;">INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</h2> <p style="text-align: center;"><i>(Use as many sheets as necessary)</i></p> |   |    |   | <b>Complete if Known</b>      |                      |
|  |   |    |   | <b>Application Number</b>     | 10/714,493           |
|  |   |    |   | <b>Filing Date</b>            | 11/14/2003           |
|  |   |    |   | <b>First Named Inventor</b>   | Michael D. Hitchcock |
|  |   |    |   | <b>Art Unit</b>               | 2176                 |
|  |   |    |   | <b>Examiner Name</b>          | James H. Blackwell   |
| <b>Sheet</b>   | 2 | of | 4 | <b>Attorney Docket Number</b> | C071                 |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | A                     | Web pages from www.archive.org, A Letter From The Editor To Admission Officers  |                |
|                                 | B                     | Web pages from On-Line applications now on CollegeNET, September 20, 1995   |                |
|                                 | C                     | Web Pages from www.dialogclassic.com, April 22, 1996, Free on-line college search service; CollegeNET popularity grows among high school students, parents.   |                |
|                                 | D                     | Web Page from www.archive.org, Library Journal Cites CollegNet as one of the Ten Best Reference Sites on the World Wide Web, May 15, 1997   |                |
|                                 | E                     | Web Pages from CollegeNET: World Wide Web (WWW) College Applications Over The internet  |                |
|                                 | F                     | CONNOLLY, DAVID "Technical Forum" IBM Systems Journal Vol. 36, No.: 1, 1997   |                |
|                                 | G                     | Connect The Orange County Register, March 15, 1997  |                |
|                                 | H                     | TENENBAUM, JAY M. et al., "Eco System: An Internet Commerce Architecture" IEEE 1997   |                |
|                                 | I                     | Web Page from cgi-eim/polyform.exe. Sample Order Form 2   |                |
|                                 | J                     | SINTON, PETER "Technology Assists Search" SFGate.com September 17, 1996   |                |

|                           |  |                        |  |
|---------------------------|--|------------------------|--|
| <b>Examiner Signature</b> |  | <b>Date Considered</b> |  |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|-------|---|----|---|
| Sheet | 3 | of | 4 |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | A                     | SUSAN B. PECK, "Getting Started," O Re illy Software.   |                |
|                    | B                     | "Netscape, Actra and Cybercash Announce Enhanced Products and Availability of Internet Payment Solutions," WebForms 1995-1996.  |                |
|                    | C                     | "Integrated Technology Strategy (ITS), Student Friendly Services", www.archive.org (1998).  |                |
|                    | D                     | ENTRATA, Products and Services, www.archive.org, www.ncs.com.   |                |
|                    | E                     | "Ron Reagan Jr. Ranked Collegescape the #1 Website of the Year on CBS This Morning" Collegescape, Inc.  |                |
|                    | F                     | "The Creative Edge of Higher Education"   |                |
|                    | G                     | Form 10-K CYCH INC - CYCH March 10, 1997  |                |
|                    | H                     | SUSAN B. PECK & STEPHEN ARRANTS, WEBSITE, "Building Your Own Website" Version 1.1, O'Reilly & Associates, Inc.  |                |
|                    | I                     | Who Are We? SNAP Technologies, Inc., www.archieve.org (1996).   |                |
|                    | J                     | "College Scholarship Service," "College Board Online," CSS/Financial Aid PROFILE Registration Form for School Year 1997-1998, www.archieve.org.   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
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| Attorney Docket Number | C071                 |

|       |   |    |   |
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| Sheet | 4 | of | 4 |
|-------|---|----|---|

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | A                     | "Connect," California State University (CSU), The Orange County Register March 15, 1997.  |                |
|                    | B                     | College Applications Online, College Board Online, webs pages from www.archieve.org.  |                |
|                    | C                     | SNAP Technologies, Inc. webpages from www.archieve.org and www.collegedge.com (1996)  |                |
|                    | D                     | SUSAN B. PECK, "Website Professional Advanced Topics," WEBSITE PROFESSIONAL, O'REILLY SOFTWARE, June 1996.  |                |
|                    | E                     | Web pages from www.archieve.org and www.collegedge.com from 1996 to 1997.   |                |
|                    | F                     |   |                |
|                    | G                     |   |                |
|                    | H                     |   |                |
|                    | I                     |   |                |
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